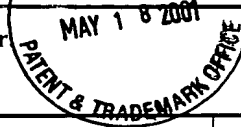


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. **40091-10018**

In Re Application Of: **David W. Cox Jr.**



Serial No.  
**09/781,755**

Filing Date  
**February 12, 2001**

Examiner

Group Art Unit  
**2876**

Title: **VERIFICATION SYSTEM FOR THE PURCHASE OF A RETAIL ITEM AND METHOD OF USING SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0503** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: May 11, 2001

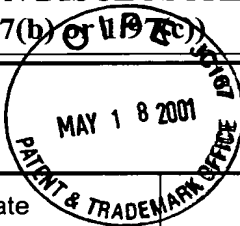
James D. Ryndak (Reg. No. 28,754)  
RYNDAK & SURI  
30 North LaSalle Street, Suite 2630  
Chicago, IL 60602  
(312) 214-7770

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
40091-10018

In Re Application Of: David W. Cox Jr.



Serial No.  
09/781,755

Filing Date  
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Examiner

Group Art Unit  
2876

Title: VERIFICATION SYSTEM FOR THE PURCHASE OF A RETAIL ITEM AND METHOD OF USING SAME

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on May 17, 2001.

James D. Ryndak

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

Patent  
Serial No. 09/781,755

Attorney Docket  
No. 40091-10018

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

David W. Cox, Jr.

Serial No. 09/781,755

Filed: February 12, 2001

For: VERIFICATION SYSTEM FOR THE PURCHASE OF A RETAIL  
ITEM AND METHOD OF USING SAME

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231


Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed.

Regarding the documents listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant reserves the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed within three (3) months of the filing date of the application. No fee is required.

Respectfully submitted,

By:   
James D. Ryndak  
Reg. No. 28,754  
Attorney for Applicant

Dated: May 11, 2001

RYNDAK & SURI  
30 N. LaSalle Street, Suite 2630  
Chicago, IL 60602  
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